Optimized multi-level elongated quinary patterns for the assessment of thyroid nodules in ultrasound images

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ABSTRACT

Ultrasound imaging is one of the most common visualizing tools used by radiologists to identify the location of thyroid nodules. However, visual assessment of nodules is difficult and often affected by inter- and intra-observer variabilities. Thus, a computer-aided diagnosis (CAD) system can be helpful to cross-verify the severity of nodules. This paper proposes a new CAD system to characterize thyroid nodules using optimized multi-level elongated quinary patterns. In this study, higher order spectral (HOS) entropy features extracted from these patterns appropriately distinguished benign and malignant nodules under particle swarm optimization (PSO) and support vector machine (SVM) frameworks. Our CAD algorithm achieved a maximum accuracy of 97.71% and 97.01% in private and public datasets respectively. The evaluation of this CAD system on both private and public datasets confirmed its effectiveness as a secondary tool in assisting radiological findings.

1. Introduction

Thyroid cancer is more commonly seen in women compared to men, with the morbidity rate >5% increasing every year [1]. It is estimated that approximately 56,870 new thyroid cancer cases will be diagnosed in 2017 in the United States [2]. The thyroid gland secretes thyroxine (T4) and tri-iodothyronine (T3) hormones that are important for the overall wellbeing of the human body. They mainly regulate metabolism, growth, development, and temperature of the body. They also play a vital role in the development of the brain [3,4].

The enlargement of the thyroid gland is termed goiter. Goiters can be either diffuse, i.e., covering the entire gland, or nodular. These thyroid gland “bumps” are referred to as thyroid nodules [5], and can be either benign or malignant. Early diagnosis improves stratification of thyroid nodules and helps in determining the best treatment option [6].

Ultrasound is an inexpensive and effective method in thyroid imaging. Images of the organs are obtained by capturing echoes which are generated as a response to the sound waves sent from a transducer at a high frequency rate. By analyzing the reflected echoes, it is possible to differentiate healthy and malignant tissues. The ultrasound features of a malignant thyroid nodule include hypoechoic, ill-defined margins and punctate calcification [7]. Ultrasounds also provide a useful tool for disease follow-up in patients with thyroid cancer after treatment.

Thyroid cancer has different stages based on the tumor classification without any definitive demarcation between each stage [8]. The “stage” of a cancer indicates a particular specified level during the tumor growth. Further, computer-aided diagnosis (CAD) of ultrasound images can help the radiologists in early diagnosis of thyroid nodules. The CAD systems often consist of feature extraction and machine learning algorithms. To date, several CADs for thyroid nodule differentiation have been proposed.
A neural network model described in Ref. [12] has gained an accuracy of 88.3%. In Ref. [13] an accuracy of 81% is achieved using artificial immune recognition system (AIRS). Directional patterns implemented in Ref. [14] achieved classification accuracy of 89.4%. A neuro-fuzzy classifier proposed in Ref. [15] has shown 95.33% accuracy in diagnosing thyroid lesions. Erol et al. [6] concluded in their experiment that, radial basis function neural network (RBFNN) is a suitable classifier for thyroid disease compared to multilayer perceptron neural network (MLPNN). An information gain based artificial immune recognition system (IG-AIRS) trained on a dataset with thyroid diseases in Ref. [16] yielded an accuracy of 95.90%. A system developed by Dogantekin et al. [17] used principal component analysis (PCA) and SVM and obtained 97.67% accuracy. The discriminant analysis, wavelet features and SVM were used to obtain 91.86% classification accuracy [18].

The parameters of SVM classifier were optimized using particle swarm optimization (PSO), and an average accuracy of 97.49% was attained in Ref. [19]. PCA was used with an extreme learning machine classifier to obtain new feature space for thyroid diseases and achieved a mean accuracy of 97.73%. By adaptively tweaking the parameters for fuzzy k-nearest neighbor (FKNN) classifier, the authors of [20] attained a mean accuracy of 98.82%. Various soft and hard fuzzy clustering techniques used for the thyroid disease classification can be found in Ref. [21].

A texture feature based technique was developed in Ref. [22] and attained a maximum accuracy of 100%. In Ref. [23], a new system is developed using combination of discrete wavelet transform (DWT) and texture features with an AdaBoost classifier for the classification of thyroid lesions. A classification accuracy, sensitivity and specificity of 100% were reported by them. Good classification accuracy was shown by grayscale features based on Gabor wavelet, moments, entropy, image texture and higher order spectra (HOS) features [24]. In a work by Acharya et al. [25] to evaluate Hashimoto thyroiditis, a stationary wavelet transform with fuzzy classifier was used and attained a maximum accuracy, sensitivity, specificity of 84.6%, 82.8%, and 87.0% respectively. In Ref. [26], Gabor transform features with locality sensitive discriminant analysis (LSDA) and C4.5 decision tree classifier were used to classify thyroid nodules, and attained 94.3% accuracy.

In Ref. [27], linear discriminant analysis classifier was used to diagnose Hashimoto’s thyroiditis and obtained 96.88% sensitivity, 98.44% specificity, and 97.66% overall classification accuracy. Another system was proposed by Ref. [28], which involved a fine-tuned deep convolutional neural network and pre-processed ultrasound images. This system reported 98.29% accuracy, 99.10% of sensitivity and 93.90% specificity using open access database. And obtained 96.34% accuracy, 86% sensitivity, and 99% specificity with non-public database. In Ref. [29], an artificial neural network (ANN) was applied to detect thyroid nodules in ultrasound images and obtained 70% accuracy. A more advanced system proposed in Ref. [30] utilized a watershed algorithm for the segmentation of nodules and ANN and SVM classifiers for the classification of benign and malignant nodules. The accuracy, specificity, sensitivity and AUC (area under the curve) were 92.5%, 96.66%, 80%, and 0.91 for SVM and 87.5%, 93.33%, 70% and 0.88 for ANN respectively. The authors of this study concluded that the SVM classifier is more stable and reliable compared to the ANN classifier. In a study involving extraction and classification of elastography features from ultrasound images of thyroid nodules [31], an LDA classifier was used. This was developed to differentiate the thyroid nodules into two types (i) no FNA (fine-needle aspiration) (observation-only) and (ii) FNA. They showed 100% sensitivity and specificity of 75.6% in detecting malignant thyroid nodules. A convolutional neural network model was used to extract the deep features from ultrasound images in Ref. [32] and achieved 92.9% accuracy in the classification of thyroid nodules. An automated CAD system proposed in Ref. [33] used a speckle reduction technique to find and segment a region containing suspicious nodules. This segmentation system achieved true positive of 95.92 ± 3.70%, false positive of 7.04±4.21%, dice coefficient of 93.88±2.59% and overlap metric of 91.18±7.04 pixels and Hausdorff distance of 0.52±0.20 pixels. Performances of different techniques are presented in Table 5.

However, it is difficult to compare the effectiveness of these techniques because each method used different number of subjects. Ideally, the techniques should be tested using large datasets for confirming their effectiveness. Hence, in this work we have developed a new methodology for the characterization of thyroid lesions using optimized HOS entropies extracted from elongated quinary patterns of multi-level gradients. Our system containing PSO and SVM is developed using private (288 benign, and 56 malignant) and public (288 benign and 57 malignant) datasets. An overview of the proposed model is shown in Fig. 1.

2. Materials and methods

2.1. Data descriptions

In this work, we have used two datasets, one public dataset and another from our own (private) dataset.

Dataset 1 (Public database): Images were taken from an open-access thyroid ultrasound-image database [34] which consists of ultrasound images with thyroid nodules. From this publicly available database, 288 benign and 57 malignant images belong to 99 controls and 200 cases were chosen with age range of 57:35 ± 16.2 years. The ultrasound image sequences were captured with TOSHIBA Nemio 30 and TOSHIBA Nemio MX ultrasound systems, both set to 12 MHz convex and then from this sequence, thyroid images were extracted. The patients were
individually evaluated by two experts and the TI-RAD lexicon description was provided.

Dataset 2 (Private database): This dataset was collected at Chiang Mai University Hospital between December 1st, 2009 and December 31st, 2016. Cytology (by fine needle aspiration biopsy) or surgical excision were used to confirm the presence of benign or malignant nodules. In this study, 344 thyroid nodule images were collected, out of which 288 were benign, and 56 were malignant. The images were collected from patients between 12 and 88 years of age (mean age: 44.1 years). The patients were examined using one of the following scanners: GE LOGIQ 9 and LOGIQ E9 with linear transducer 10–14 MHz, SIEMENS Acuson Sequoia 512 with linear transducer 5–13 MHz, TOSHIBA Aplio-XG with linear transducer 10–13 MHz and PHILIPS iU22 with linear transducer 5–15 MHz, depending on scanner availability. B-Mode images were collected during the examination.

2.2. Feature representation using quinary encoding

Gradients are the effective and robust feature representation techniques used for image analysis [35,36]. Multi-gradient magnitudes and angles are derived from a given image in XY and left-right (LR) directions. To extract gradient components, four different Sobel masks were used in various orientations, and the resultant images were used to compute the magnitude and angle of the gradient.

To obtain the gradient in the XY direction, pixels around the center pixel $P_{c5}$ of a sub image of size $3 \times 3$ are convolved with the horizontal ($H_m$) and vertical ($V_m$) Sobel masks.

$$Gr_x(H) = \frac{P_1 + 2P_2 + P_3 - (P_7 + 2P_8 + P_9)}{C_{12}/C_{12}}$$

where, the $Gr_x(H)$ represents the horizontal direction gradient of pixel $P_{c5}$.

Similarly, the gradient of pixel $P_{c5}$ in vertical direction is given by:

$$Gr_y(V) = \frac{P_1 + 2P_4 + P_7 - (P_3 + 2P_6 + P_9)}{C_{14}/C_{19}}$$

Using the $Gr_x(H)$ and $Gr_y(V)$ components, the magnitude gradient $Gmag_{xy}$ and angle gradient $Gdir_{xy}$ are computed. Magnitude gradient is given by

$$Gmag_{xy} = |Gr_x(H)| + |Gr_y(V)|$$

And angle gradient is

$$Gdir_{xy} = \tan^{-1}\left(\frac{Gr_y(V)}{Gr_x(H)}\right)$$

Likewise, to obtain the magnitude and angle gradients for the center

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Fig. 2. Magnitude and angle in XY and LR directions.
pixel \( P_{x5} \) in L-R direction the center pixel is convolved with the diagonal masks \( d_1 \) and \( d_2 \). The gradient of the pixel \( P_{x5} \) left (l) and right (r) is given by:

\[
Gr_l(d_2) = (P_{x4} + 2P_{x3} + P_{x5}) - (P_{x4} + 2P_{x3} + P_{x5})
\]

(5)

and

\[
Gr_r(d_1) = (P_{x6} + 2P_{x9} + P_{x8}) - (2P_{x6} + P_{x9} + P_{x8})
\]

(6)

Using these convolution outputs, magnitude gradient \( \text{Gmag}_{LR} \) and angle gradient \( \text{Gdir}_{LR} \) are obtained as follows:

\[
\text{Gmag}_{LR} = |Gr_l(d_2)| + |Gr_r(d_1)|
\]

(7)

\[
\text{Gdir}_{LR} = \tan^{-1} \left( \frac{Gr_r(d_1)}{Gr_l(d_2)} \right)
\]

(8)

Fig. 2 shows extracted gradient magnitude and angle in both XY and LR directions.

Regions which have rough versus smooth textures can be isolated using gradient feature extraction technique. The magnitude gradient features are stable and consistent in the edge and curve regions of the images with rough textures. Hence, it becomes easier to isolate regions with the higher intensity regions. The angle gradient features explore the minute features such as bump or swelling at different angles.

The LR and XY gradient magnitudes and angles (eight gradient components) are quantized with an elongated quinary pattern (EQP) technique and five levels of encoding [36]. To encode the eight-connected pixel neighborhood, two threshold values \( \text{Th}_1 \) and \( \text{Th}_2 \) are used. Various combinations of values were used for \( \text{Th}_1 \) and \( \text{Th}_2 \) ranging from 1 to 20 and the performance was observed. The values 4 and 9 for \( \text{Th}_1 \) and \( \text{Th}_2 \) respectively showed the highest performance.

The five-level encoding in terms of gradient magnitude as:

\[
\text{Gr}_{mag_{EQP}}(Gr_{mag_{p}}, Gr_{mag_{c}}) = \begin{cases} +2 & \text{Gr}_{mag_{p}} \geq \text{Gr}_{mag_{c}}, + \text{Th}_2 \\ +1 & \text{Gr}_{mag_{p}} + \text{Th}_1 \leq \text{Gr}_{mag_{p}} < \text{Gr}_{mag_{c}}, + \text{Th}_2 \\ 0 & \text{Gr}_{mag_{c}} - \text{Th}_1 \leq \text{Gr}_{mag_{p}} < \text{Gr}_{mag_{c}}, + \text{Th}_1 \\ -1 & \text{Gr}_{mag_{p}} - \text{Th}_2 \leq \text{Gr}_{mag_{p}} < \text{Gr}_{mag_{c}}, - \text{Th}_1 \\ -2 & \text{otherwise} \end{cases}
\]
where $Gr_{mag}$ signifies the gradient magnitudes of neighboring points which are surrounding this center pixel gradient magnitude ($Gr_{mag}$).

Fig. 3 shows an example of encoded quinary patterns of magnitude and angle in both the XY and LR direction. Fig. 4 shows the EQP of benign and malignant classes.

2.3. Higher order spectral entropies

Higher order spectra (HOS) is designed for the better spectral representation of stochastic or deterministic processes. It is very useful in the identification of non-linearity in deterministic signals and random processes [37–39]. It has been widely used in medical image analysis [40], traffic sign recognition [41], and signal analysis [42–44]. Generally, a bispectrum of 2-D signals can be characterized in 4-D. The HOS analysis is a 1-D projection of an image performed at an angle $\theta$ using the Radon transform (RT). The bispectrum first, second, third order entropies and also phase entropies are extracted as defined in Refs. [40,45,46] after RT.

Finally, adaptive synthetic (ADASYN) sampling is used to overcome the problem of imbalance in the number of samples between the two classes. It uses the density distribution to govern the number of samples required for the class of minimum samples. The resultant balanced samples with features can be used for further processing [47].

2.4. Feature selection and classification

PSO is a population-based search method which will mimic the flocking behavior of birds and fish swarms [48]. In PSO, the positions of every particle of a given swarm (i.e., population) is updated based on the previous experiences. After the update, new fitness values of these particles are calculated. This process continues until stopping criteria is met. PSO was used to select 5, 10, 15, 20, and 25 top features that were fed to an SVM classifier [49,50], as it has the capacity to generalize by optimizing the margin [51]. The classifier performance was tested with 1st, 2nd and 3rd polynomial, and radial basis function (RBF) kernels to find the best performing classifier. We have considered accuracy, sensitivity and specificity as our performance evaluation metrics.

3. Experimental results

In this study, we have used both public (Benign: 288, Malignant: 57) and private (Benign: 288, Malignant: 56) ultrasound thyroid datasets to
develop, and assess the performance of thyroid nodule differentiation. The processing begins with the calculation of multi-level gradient magnitudes and angles in the XY-direction and LR-direction, and yielded four different gradient components. Each gradient component is encoded using quinary encoding patterns and their corresponding seventy two HOS entropies are extracted ($72 \times 4 = 288$ features). In order to overcome the imbalance in the number of benign and malignant class images, the ADASYN is used. It has created two hundred twenty four additional synthetic minority data samples for public as well as private datasets. These features are subjected to PSO to select the most significant features for classification. We have used SVM classifier with different kernel functions for classification. Ten-fold cross validation is used to evaluate the performance of the developed system.

We have performed our experiments by selecting 5, 10, 15, 20 and 25 significant features with various iterations which randomly selects different features using PSO and SVM. The significant features are further tested using 10-fold cross validation. The obtained classification performances for different kernel functions are given in Tables 1 and 2. We have achieved an average performance of 93.89% accuracy, 88.85% sensitivity and 94.66% specificity with ten features and fifteen iterations using public dataset. We have also achieved an average performance of 91.91% accuracy, 92.85% sensitivity and 88.54% specificity with ten features and twenty iterations for private dataset. In order to test the usefulness of quinary patterns, we have extracted HOS entropies directly from multi-level gradients and fed to PSO-SVM combination for classification. The obtained results are presented in Tables 3 and 4 for public and private datasets respectively. The average accuracy of 89.92% is achieved with ten significant features and twenty iterations using the public dataset. It can be observed that the model performed better when HOS entropies are extracted from quinary patterns rather than from gradients. Figs. 5 and 6 shows the performance of the proposed model for different combinations of features and iterations for both public and private datasets.

4. Discussion

Ultrasound is the most commonly used modality for the detection and assessment of thyroid nodules. In this study, we have presented a novel

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<th>Performance of HOS entropy extracted from multi-level gradient for different kernels using the public dataset.</th>
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Fig. 5. Performance of the proposed model for change in features with fixed iteration of 10.

Fig. 6. Performance of the proposed model for change in iteration with fixed features of 10.
methodology for the characterization of thyroid nodules that belong to either benign or malignant group. We have used encoded quinary patterns of magnitude and angle gradients in two different directions to extract the features and classify the nodules. The gradient magnitudes are efficient in characterizing the textures or regions, whereas angle magnitudes explore minute features such as bumps or swelling [36]. The enhanced gradients are systematically encoded using elongated quinary patterns delineate the non-linearity among benign and malignant lesions during the classification. In addition, the ADASYN synthetic sample generation algorithm helps to compensate the issue of data unbalancing and helps to prevent the over-fitting of classifiers. The extracted features with synthetic samples are fed to the PSO algorithm to select these best features. These selected features were used to train the SVM classifier. Our system required only ten significant features from the extracted 288 features to attain 93.89% average accuracy. In order to test the robustness of our model, we have also repeated our experiment using public database and different kernel functions. It is observed that the SVM with RBF kernel has attained maximum performance in all combinations as shown in Tables 1 and 2. We have also observed the superiority of extracting HOS features from EQP patterns than extracting it from multi-level gradients as shown in Tables 3 and 4 as it compensates the gradient sensitivity [36]. Overall performance is boosted approximately by 4% for public dataset. Figs. 5 and 6 yielded the maximum results using SVM AUC: 0.93

Conflicts of interest
We hereby confirm that none of the authors have any conflict of interest related to this manuscript.

References


